

Critical thresholds in a nonlocal Euler system with relaxation

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This is joint work with my advisor, Dr Hailiang Liu.



This presentation is based on our papers submitted to
Discrete and Continuous Dynamical Systems:

- Critical thresholds in a nonlocal Euler system with relaxation (ArXiv: 2010.02362),
- Sharp critical thresholds in a hyperbolic system with relaxation (ArXiv: 2012.06804).

Motivation to CT Phenomena

Pressureless Euler equation,

$$\rho_t + (\rho u)_x = 0, \quad \mathbb{R} \times (0, \infty),$$

$$u_t + uu_x = 0,$$

with $u(0, x) = u_0(x)$ and $\rho(0, x) = \rho_0(x) \geq 0$, both being C^1 functions.

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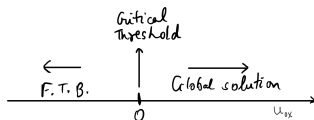
with $u(0, x) = u_0(x)$ and $\rho(0, x) = \rho_0(x) \geq 0$, both being C^1 functions. Using method of characteristics,

$$u_x(t, X(t; \alpha)) = \frac{u_{0x}(\alpha)}{1 + tu_{0x}(\alpha)}, \quad \alpha \in \mathbb{R}.$$

Hence, if for some x^* , $u_{0x}(x^*) < 0$, then

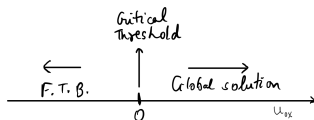
$$\lim_{t \rightarrow t^*-} u_{0x} = -\infty, \quad t^* = -\frac{1}{u_{0x}(x^*)}.$$

u_x is bounded for all time if and only if $u_{0x}(x) \geq 0$ for all $x \in \mathbb{R}$.



Criteria of breakdown: $u_x \rightarrow -\infty$ (shock formation) along with $\rho \rightarrow \infty$ (density concentration) at the same time.

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Criteria of breakdown: $u_x \rightarrow -\infty$ (shock formation) along with $\rho \rightarrow \infty$ (density concentration) at the same time.

Following are some of the many researchers having extensively studied CTC for various systems:

- Hailiang Liu
Eitan Tadmor
Alexander Kiselev
...

1D Euler-Poisson systems

$$\rho_t + (\rho u)_x = 0, \quad x \in \mathbb{R}$$

$$u_t + uu_x = -\phi_x,$$

$$-\phi_{xx} = \rho.$$

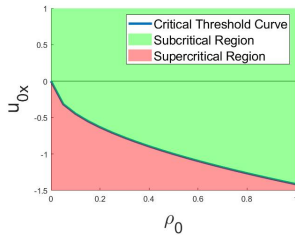
1D Euler-Poisson systems

$$\rho_t + (\rho u)_x = 0, \quad x \in \mathbb{R}$$

$$u_t + uu_x = -\phi_x,$$

$$-\phi_{xx} = \rho.$$

- There is global solution for all time if and only if $u_{0x}(x) + \sqrt{2\rho_0(x)} \geq 0$ for all $x \in \mathbb{R}$.



Model

A class of 1D Euler type system:

$$\begin{aligned}\rho_t + (\rho v)_x &= 0, \quad x \in \mathbb{R} \\ u_t + uu_x &= \rho(v - u).\end{aligned}$$

with initial conditions $0 \leq \rho_0, u_0 \in L^\infty(\mathbb{R})$ and $\rho_x, u_x \in H^s(\mathbb{R})$, $s \geq 1$.

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- Choosing an appropriate v to close the system
- A nonlocal v has analogy with nonlocal models for fluid flows
- We assume $v = Q * u$.

$$v = Q * u$$

$$\begin{aligned}\rho_t + \nabla \cdot (\rho Q * u) &= 0, \\ u_t + (u \cdot \nabla)u &= \rho(Q * u - u).\end{aligned}$$

- $\int_{\mathbb{R}^N} Q(y)dy = 1$, $Q \geq 0$ and $Q \in W^{1,1}(\mathbb{R}^N)$
- For general Q , this system tends to a strictly hyperbolic system under the hyperbolic scaling limit $x \rightarrow x/\epsilon$, $t \rightarrow t/\epsilon$
- Assume the usual symmetricity of Q around the origin
- Conventional local existence uniqueness theorems for $\rho, u \in H^{s+1}(\mathbb{R}^N)$, $s > N/2$
- We prove for more general space: $\rho, u \in L^\infty(\mathbb{R}^N)$ and $\nabla \rho, \nabla u \in H^s(\mathbb{R}^N)$

Local existence

- Follow a procedure inspired by Majda (1984)

Local existence

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- ① Set up an iteration scheme
- ② Prove sequence is Cauchy in L^∞ norm (New)
- ③ Higher regularity of limit
- ④ Uniqueness using modified energy estimate derived

CTC in 1D

Question: Find a curve in the phase space of initial configuration which precisely delineates the global solution/finite-time-breakdown regions.

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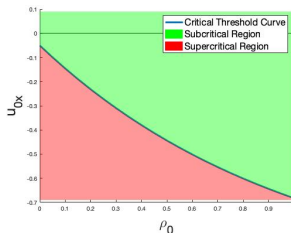


Figure: Idea of threshold curve.

Global solution: If $g(\rho_0(x), u_{0x}(x)) \geq 0$ for all $x \in \mathbb{R}$,

FTB: If $g(\rho_0(x^*), u_{0x}(x^*)) < 0$ for some $x^* \in \mathbb{R}$.

Result

$$\begin{aligned}\rho_t + (\rho Q * u)_x &= 0, \quad x \in \mathbb{R} \\ u_t + uu_x &= \rho(Q * u - u).\end{aligned}$$

Theorem

- [Subcritical region] A unique solution $\rho, u \in C([0, \infty); L^\infty(\mathbb{R}))$ and

$$\rho_x, u_x \in C([0, \infty); H^s(\mathbb{R})), \quad s \geq 1$$

exists if $u_{0x}(x) + \rho_0(x) \geq 0$ for all $x \in \mathbb{R}$.

- [Supercritical region] If $\exists x^* \in \mathbb{R}$ for which $u_{0x}(x^*) < -\rho_0(x^*)$, then $u_x \rightarrow -\infty$ in a finite time.

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- [Supercritical region] If $\exists x^* \in \mathbb{R}$ for which $u_{0x}(x^*) < -\rho_0(x^*)$, then $u_x \rightarrow -\infty$ in a finite time.

- Usually, it's hard to derive precise thresholds for nonlocal systems
- Remarkably simple for a nonlocal system

Local v

$$\begin{aligned}\rho_t + (\rho f(\rho, u))_x &= 0, \\ u_t + uu_x &= \rho(f(\rho, u) - u).\end{aligned}$$

Question: For what class of f does this system exhibit critical threshold phenomena?

Local v

$$\begin{aligned}\rho_t + (\rho f(\rho, u))_x &= 0, \\ u_t + uu_x &= \rho(f(\rho, u) - u).\end{aligned}$$

Question: For what class of f does this system exhibit critical threshold phenomena?

Rewrite

$$\begin{bmatrix} \rho \\ u \end{bmatrix}_t + \begin{bmatrix} \rho f_\rho + f & \rho f_u \\ 0 & u \end{bmatrix} \begin{bmatrix} \rho \\ u \end{bmatrix}_x = \begin{bmatrix} 0 \\ \rho(f - u) \end{bmatrix}.$$

$$\lambda_1 = \rho f_\rho + f \text{ and } \lambda_2 = u.$$

$$\begin{bmatrix} \rho \\ u \end{bmatrix}_t + \begin{bmatrix} \rho f_\rho + f & \rho f_u \\ 0 & u \end{bmatrix} \begin{bmatrix} \rho \\ u \end{bmatrix}_x = \begin{bmatrix} 0 \\ \rho(f - u) \end{bmatrix}.$$

- $\lambda_1(\rho_0(x), u_0(x)) \neq u_0(x) \not\Rightarrow \lambda_1(\rho(t, x), u(t, x)) \neq u(t, x)$
- May change type: strictly hyperbolic to weakly hyperbolic

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- May change type: strictly hyperbolic to weakly hyperbolic
- Divide into two cases owing to type of system:
 - 1 $f_\rho = 0$ ($f = f(u)$ only)
 - 2 General f

$$f_\rho = 0$$

- $\lambda_1 = f$
- $f - u > 0$ is invariant:
 $|f(u_0(x)) - u_0(x)| > 0 \implies |f(u(t, x)) - u(t, x)| > 0$

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 $|f(u_0(x)) - u_0(x)| > 0 \implies |f(u(t, x)) - u(t, x)| > 0$

Proposition

Let f be a smooth function with $f_\rho = 0$. Consider initial conditions $(\rho_0 \geq 0, u_0) \in C_b^1(\mathbb{R}) \times C_b^1(\mathbb{R})$ with $\inf |f(u_0) - u_0| > 0$. If $f_u \leq 0$ for solution u under consideration, then

Bounds on u and ρ : $u(t, \cdot)$ is uniformly bounded and satisfies $|f(u(t, \cdot)) - u(t, \cdot)| > 0$ for $t > 0$. And

$$\rho(t, x) \leq \frac{\sup \rho_0 |f(u_0) - u_0|}{e^{\int_{u_0(x)}^{u(t, x)} \frac{d\xi}{f(\xi) - \xi}} |f(u(t, x)) - u(t, x)|}.$$

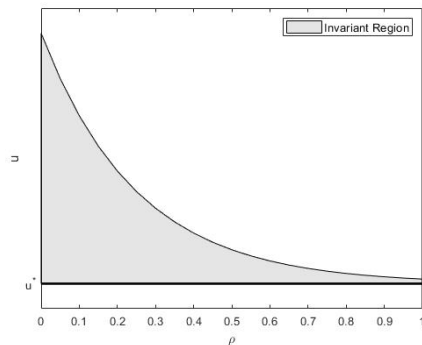


Figure: Asymptotic invariant region.

u^* is a root of $f(u) - u = 0$.

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1 Global solution: If

$$u_{0x}(x) + \rho_0(x) \geq 0, \quad \forall x \in \mathbb{R},$$

then there exists a global classical solution $\rho, u \in C^1((0, \infty) \times \mathbb{R})$. Moreover, ρ, u_x are uniformly bounded.

2 Finite time breakdown: If $\exists x_0 \in \mathbb{R}$ such that

$$u_{0x}(x_0) + \rho_0(x_0) < 0,$$

then $\lim_{t \rightarrow t_c} |\rho_x| = \infty$ or $\lim_{t \rightarrow t_c} |u_x| = \infty$ for some $t_c > 0$.

Important points

- System is strictly hyperbolic
- Both ρ, u exist in the same space
- This is consistent with the usual results for a strictly hyperbolic system

Idea of proof

Take derivative of $u_t + uu_x = \rho(f - u)$ and set $e := u_x + \rho$,

$$\rho_t + f\rho_x = f_u\rho(\rho - e),$$

$$e_t + ue_x = -e(e - \rho).$$

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$$\rho_t + f\rho_x = f_u\rho(\rho - e),$$

$$e_t + ue_x = -e(e - \rho).$$

- If $f_u \leq 0$, $e_0 \geq 0$, we can show
 $\sup e_0(x), \sup \rho_0(x) \leq M \implies 0 \leq e(t, x), \rho(t, x) \leq M$ for all
 $x \in \mathbb{R}$ and $t > 0$.
- By taking derivative of first equation, ρ_x is bounded for any time if e is.
- For uniform bound on ρ , we need not assume
 $\inf |f(u_0) - u_0| > 0$. However, we need to use u_x to bound.

- By assuming strict hyperbolicity, we can use Riemann Invariant technique (P.D. Lax, 1964).

$$\begin{aligned} \begin{bmatrix} \rho \\ u \end{bmatrix}_t + \begin{bmatrix} f & \rho f_u \\ 0 & u \end{bmatrix} \begin{bmatrix} \rho \\ u \end{bmatrix}_x &= \begin{bmatrix} 0 \\ \rho(f-u) \end{bmatrix} \\ \phi[f-u \quad \rho f_u] \left(\begin{bmatrix} \rho \\ u \end{bmatrix}_t + \begin{bmatrix} f & \rho f_u \\ 0 & u \end{bmatrix} \begin{bmatrix} \rho \\ u \end{bmatrix}_x \right) &= \begin{bmatrix} 0 \\ \rho(f-u) \end{bmatrix}. \end{aligned}$$

If $\exists R = R(\rho, u)$ s.t. $[R_\rho \quad R_u] = \phi[f-u \quad \rho f_u]$,

- By assuming strict hyperbolicity, we can use Riemann Invariant technique (P.D. Lax, 1964).

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If $\exists R = R(\rho, u)$ s.t. $[R_\rho \quad R_u] = \phi[f-u \quad \rho f_u]$,

$$\begin{aligned} [R_\rho \quad R_u] \left(\begin{bmatrix} \rho \\ u \end{bmatrix}_t + \begin{bmatrix} f & \rho f_u \\ 0 & u \end{bmatrix} \begin{bmatrix} \rho \\ u \end{bmatrix}_x \right) &= \begin{bmatrix} 0 \\ \rho(f-u) \end{bmatrix} \\ R_\rho \rho_t + R_u u_t + f(R_\rho \rho_x + R_u u_x) &= R_u \rho(f-u) \\ R_t + f R_x &= \phi \rho^2 f_u (f-u) = \rho R f_u. \end{aligned}$$

- $R = \rho e^{\int_{u_0}^u \frac{d\xi}{f(\xi)-\xi}} (f-u) = \rho \phi(f-u)$. Asymptotic bounds on ρ can be readily concluded.

- We do **not** need u_x .

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Differentiating equation of R

$$(R_x)_t + fR_{xx} = -f_u r(e - 3\rho) - \rho R(e - \rho) \left(\frac{f_u^2}{f - u} - f_{uu} \right).$$

- Boundedness of R_x and, in turn, ρ_x can be readily concluded
- ρ and u bounded together AND ρ_x and u_x together.

General f

Theorem

Let $f = f(\rho, u)$ be a smooth function of its variables. Consider initial conditions $(\rho_0 \geq 0, u_0) \in C_b^1(\mathbb{R}) \times C_b^2(\mathbb{R})$. If $f_u \leq 0$ for the solutions under consideration, then

- ❶ **Bounds on u :** There exists a smooth function $\phi : \mathbb{R}^+ \rightarrow \mathbb{R}$ such that,

$$\min \left\{ \inf_{\mathbb{R}} u_0, \min \phi(\rho) \right\} \leq u(t, \cdot) \leq \max \left\{ \sup_{\mathbb{R}} u_0, \max \phi(\rho) \right\},$$

for as long as $\rho \geq 0$ is bounded.

- ❷ **Bounds on ρ, u_x :** If

$$u_{0x}(x) + \rho_0(x) \geq 0, \quad \forall x \in \mathbb{R},$$

then ρ, u_x are uniformly bounded.

Idea of proof

$$u_t + uu_x = \rho(f(\rho, u) - u)$$

- $f_u - 1 < 0$, then an Implicit Function Theorem type result gives the existence of $\phi = \phi(\rho)$ with $f(\rho, \phi(\rho)) \equiv \phi(\rho)$.
- Owing to the structure, we can show

$$\min\{\inf u_0, \min \phi(\rho)\} \leq u(t, \cdot) \leq \max\{\sup u_0, \max \phi(\rho)\}.$$

- Bounds on u_x is similar to previous case (Using the quantity $e = u_x + \rho$).

Global Solution

Theorem

Let $f = f(\rho, u)$ be a smooth function of its variables. Consider initial conditions $(\rho_0 \geq 0, u_0) \in C_b^1(\mathbb{R}) \times C_b^2(\mathbb{R})$. If $f_u \leq 0$ for the solutions under consideration, then

Global solution: If in addition to $u_{0x} + \rho_0 \geq 0$,

- $(\rho f)_{\rho\rho} \geq 0$, $f_{uu} \leq 0$ along with

$$\rho_{0x}(x) \geq 0, \quad u_{0xx}(x) + \rho_{0x}(x) \geq 0, \quad \forall x \in \mathbb{R},$$

OR

- $(\rho f)_{\rho\rho} \leq 0$, $f_{uu} \geq 0$ along with

$$\rho_{0x}(x) \leq 0, \quad u_{0xx}(x) + \rho_{0x}(x) \leq 0, \quad \forall x \in \mathbb{R},$$

then there exists a global solution $\rho \in C^1((0, \infty) \times \mathbb{R})$ and $u \in C^2((0, \infty) \times \mathbb{R})$

Important points

- More conditions needed on f
- u_0 and ρ_0 lie in different space: one more derivative need for u
- Same for ρ as well as u

Idea of proof

- $e = u_x + \rho$ can be bound as before
- $\xi = \rho_x$ and $\eta = e_x$:

$$\begin{aligned}\xi_t + \lambda_1 \xi_x &= -(\rho f)_{\rho\rho} \xi^2 + \left(2\rho f_{\rho u}(\rho - e) + f_u(3\rho - 2e)\right) \xi \\ &\quad - \rho f_u \eta - f_{uu} \rho(\rho - e)^2, \\ \eta_t + u \eta_x &= e \xi + (2\rho - 3e) \eta.\end{aligned}$$

Idea of proof

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- η is bounded if ξ is.

$$\frac{d\eta}{dt} = e\xi + (2\rho - 3e)\eta$$

gives

$$\eta(t, X) = \eta(0, x_0) \exp^{\int (2\rho - 3e) d\tau} + \int_0^t \exp^{\int_s^t (2\rho - 3e) d\tau} e \xi ds.$$

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Lemma

Suppose $e \geq 0$. As long as ξ exists:

- If $\eta(0, x) \geq 0$ and $\xi(t, x) \geq 0$ for $t \geq 0, x \in \mathbb{R}$, then $\eta(t, x) \geq 0$ for $t \geq 0, x \in \mathbb{R}$,
- If $\eta(0, x) \leq 0$ and $\xi(t, x) \leq 0$ for $t \geq 0, x \in \mathbb{R}$, then $\eta(t, x) \leq 0$ for $t \geq 0, x \in \mathbb{R}$.

Using this, we can derive the final Proposition.

Proposition

(Bounds on ξ) Assume $e(0, x) \geq 0 \forall x \in \mathbb{R}$.

- Suppose $\eta(0, x), \xi(0, x) \geq 0$ for all $x \in \mathbb{R}$. Also assume $(\rho f)_{\rho\rho} \geq 0$ and $f_{uu} \leq 0$ for solutions under consideration. Then $\eta(t, x) \geq 0, \xi(t, x) \geq 0$, for $t > 0, x \in \mathbb{R}$ and for any $t > 0, \xi(t, \cdot)$ has a uniform upper bound.
- Suppose $\eta(0, x), \xi(0, x) \leq 0$ for all $x \in \mathbb{R}$. Also assume $(\rho f)_{\rho\rho} \leq 0$ and $f_{uu} \geq 0$ for solutions under consideration. Then $\eta(t, x) \leq 0, \xi(t, x) \leq 0$, for $t > 0, x \in \mathbb{R}$ and for any $t > 0, \xi(t, \cdot)$ has a uniform lower bound.

Finite time breakdown

Theorem

Let $f = f(\rho, u)$ be a smooth function of its variables. Consider initial conditions $(\rho_0 \geq 0, u_0) \in C_b^1(\mathbb{R}) \times C_b^2(\mathbb{R})$. If $f_u \leq 0$ for the solutions under consideration, then

Finite time breakdown: If $\exists x_0 \in \mathbb{R}$ such that

$$u_{0x}(x_0) + \rho_0(x_0) < 0,$$

then $\lim_{t \rightarrow t_c^-} u_x = -\infty$ at the rate of $O\left(\frac{1}{|t-t_c|}\right)$ or faster, for some $t_c > 0$.

Summary

- For nonlocal relaxation,
 - 1 Local existence in a more general space,
 - 2 Precise critical threshold.
- For local relaxation,
 - 1 Existence of precise threshold in a special case under
 - 2 Bounds on both thresholds under some restrictions, hence the existence of critical threshold
 - 3 Proof of local existence by modified existing proof for strictly hyperbolic systems (C.M. Dafermos)

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A natural question

- Is there an existence of a critical threshold for $f_u > 0$?

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Thank You!
Questions?